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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/728,197	12/03/2003	Steven C. Quarre	044182 307083	7284
7590 09/15/2005			EXAMINER	
Pillsbury Winthrop LLP			ZEC, FILIP	
Intellectual Pro	perty Group		ADTIBUT	D 4 DCD 1 H 2 4 DCD
Suite 200			ART UNIT	PAPER NUMBER
11682 El Camino Real.			3744	
San Diego, CA 92130-2092			DATE MAILED: 09/15/2005	5

Please find below and/or attached an Office communication concerning this application or proceeding.

		Tata				
	Application No.	Applicant(s)				
Office Action Commons	10/728,197	QUARRE, STEVEN C.				
Office Action Summary	Examiner	Art Unit				
	Filip Zec	3744				
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with	h the correspondence address				
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING D/ - Extensions of time may be available under the provisions of 37 CFR 1.1: after SIX (6) MONTHS from the mailing date of this communication If NO period for reply is specified above, the maximum statutory period v - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNIC, 36(a). In no event, however, may a repwill apply and will expire SIX (6) MONT, cause the application to become ABA	ATION. ply be timely filed  HS from the mailing date of this communication. INDONED (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on 27 Ju	<u>ıne 2005</u> .					
2a) ☐ This action is <b>FINAL</b> . 2b) ☑ This	This action is <b>FINAL</b> . 2b)⊠ This action is non-final.					
3) Since this application is in condition for allowar	☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
4)⊠ Claim(s) <u>1-20</u> is/are pending in the application.						
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1-5,7-15 and 17-20</u> is/are rejected.						
7)⊠ Claim(s) <u>6 and 16</u> is/are objected to.						
8) Claim(s) are subject to restriction and/or	r election requirement.					
Application Papers						
9) The specification is objected to by the Examine	г.					
10)⊠ The drawing(s) filed on <u>27 June 2005</u> is/are: a)⊠ accepted or b)□ objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) ☐ The oath or declaration is objected to by the Ex	aminer. Note the attached	Office Action or form PTO-152.				
Priority under 35 U.S.C. § 119						
12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) ☐ All b) ☐ Some * c) ☐ None of:						
1. Certified copies of the priority documents have been received.						
2. Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the priority documents have been received in this National Stage						
application from the International Bureau	• • • • • • • • • • • • • • • • • • • •					
* See the attached detailed Office action for a list of	of the certified copies not re	eceived.				
Attachment(s)  1) Notice of References Cited (PTO-892)	<b>4</b> \ □ 1-4	(DTO 442)				
Notice of References Cited (PTO-892)     Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/	mmary (PTO-413) /Mail Date				
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date	5) Notice of Info	ormal Patent Application (PTO-152) -				

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## **DETAILED ACTION**

#### **Drawings**

1. The drawings were received on 6/27/2005. These drawings are acceptable.

## Response to Arguments

2. Applicant's arguments, see pages 2-4, filed 6/27/2005, with respect to the rejection(s) of claim(s) 1-20 under 103(a) have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of U.S. Patent Application Publication 2003/0097845 to Saunders et al. As such, this Office Action is being made non-final to afford the applicants the opportunity to respond to the new grounds of rejection.

# Claim Rejections - 35 USC § 103

- 3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 4. Claims 1-5, 7, 8, 10-15, 17, 18 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent 5,332,031 to Kiga, in view of U.S. Patent Application Publication 2003/0097845 to Saunders et al. Kiga discloses applicant's basic inventive concept, a method and apparatus of cooling a charge-coupled device, said method comprising coupling said charge-

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coupled device (7, FIG. 1) to a cold side of a thermoelectric cooling device (9), coupling a hot side of said thermoelectric cooling device to a transfer plate (13), coupling said transfer plate to a heat sink (12a, FIG. 2), interposing a spacer (29) between said charge-coupled device and said cold side of said thermoelectric cooling device, selectively dimensioning said spacer to maximize a surface area of contact between said charge-coupled device and said cold side of said thermoelectric cooling device (col 3, lines 48-52), wherein said interposing comprises selectively dimensioning said spacer to position said hot side of said thermoelectric cooling device in a predetermined location relative to said charge-coupled device, selectively applying a conformal coating to at least one said transfer plate, said thermal barrier, and an interface between said transfer plate and said thermal barrier (col 3, lines 40-42), said mounting comprises isolating heat generated by said thermoelectric cooling device from said charge-coupled device, substantially as claimed with the exception of mounting said transfer plate to a thermal barrier. Saunders shows mounting a transfer plate (12, FIG. 3A) to a thermal barrier (16, FIG. 3A) to be old in the electronics cooling art. Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made from the teaching of Saunders to modify the system of Kiga, by mounting said transfer plate to a thermal barrier in order to inhibit the heat transfer through the module interior from the heat releasing thermal conduction layer to the heat absorbing thermal conduction layer ([0011]).

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5. Claims 9 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent 5,332,031 to Kiga, in view of U.S. Patent Application Publication 2003/0097845 to Saunders et al., as applied to claims 1 and 11 above, and further in view of U.S. Patent 4,253,515 to Swiatosz. Kiga in view of Iwata discloses applicant's basic inventive concept, a method and

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apparatus of cooling a charge-coupled device, substantially as claimed with the exception of using epoxy laminate material to mount said transfer plate. Swiatosz shows a mounting process using epoxy laminate material (col 4, lines 17-19) to be old in the electronics cooling art.

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made from the teaching of Swiatosz to modify the system of Kiga in view of Saunders, by using epoxy laminate material for mounting a transfer plate in order to provide optimum insulation and minimum separation between surfaces connected.

# Allowable Subject Matter

6. Claims 6 and 16 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

## Conclusion

- 7. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.
- U.S. Patent 5,254,178 to Yamada, Kenji et al. teaches a thermoelectric transducer apparatus comprising N- and P-type semiconductors and having electronic control capabilities.

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8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Filip Zec whose telephone number is (571) 272-4815. The examiner can normally be reached on Monday through Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cheryl Tyler can be reached on 571-272-4834. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Filip Zec Examiner Art Unit 3744

FZ

MELVIN JONES
PRIMARY EXAMINER